

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 28091/220 U.S. APPLICATION NO. (known, see 37 CFR 1.5) 107587705
INTERNATIONAL APPLICATION NO. PCT/AU2005/000107	INTERNATIONAL FILING DATE 28 January 2005 (28.01.2005)	PRIORITY DATE CLAIMED 28 January 2004 (28.01.2004)
TITLE OF INVENTION RETRACTABLE SYRINGE WITH PLUNGER DISABLING SYSTEM		
APPLICANT(S) FOR DO/EO/US KAAL, Joseph Hermes; THORLEY, Craig Stephen and JUDD, Damien		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/587705</div>	INTERNATIONAL APPLICATION NO. PCT/AU2005/000107	ATTORNEY'S DOCKET NUMBER 28091/220
--	--	---------------------------------------

20. Other items or information:
UNSIGNED Combined Declaration and Power of Attorney.

The following fees have been submitted	CALCULATIONS	PTO USE ONLY																		
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300	\$ 300.00																			
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))																				
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0	\$ 200.00																			
All other situations..... \$200																				
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))																				
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0																				
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100	\$ 400.00																			
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400																				
All other situations..... \$500																				
TOTAL OF 21, 22 and 23 =	900.00																			
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(d) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Sheets</th> <th>Extra Sheets</th> <th>Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>24 - 100 =</td> <td>-76 / 50 =</td> <td></td> <td>x \$250</td> </tr> </tbody> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	24 - 100 =	-76 / 50 =		x \$250	\$ 0.00											
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																	
24 - 100 =	-76 / 50 =		x \$250																	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 130.00																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>36 - 20 =</td> <td>16</td> <td>x \$ 50</td> </tr> <tr> <td>Independent claims</td> <td>5 - 3 =</td> <td>2</td> <td>x \$200</td> </tr> <tr> <td colspan="3"></td> <td>+ \$360</td> </tr> </tbody> </table>			CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	36 - 20 =	16	x \$ 50	Independent claims	5 - 3 =	2	x \$200				+ \$360	\$ 800.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																	
Total claims	36 - 20 =	16	x \$ 50																	
Independent claims	5 - 3 =	2	x \$200																	
			+ \$360																	
			\$ 400.00																	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$ 0.00																	
TOTAL OF ABOVE CALCULATIONS =			\$ 2,230.00																	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			\$ 1,115.00																	
SUBTOTAL =			\$ 1,115.00																	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$ 0.00																	
TOTAL NATIONAL FEE =			\$ 1,115.00																	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$ 0.00																	
TOTAL FEES ENCLOSED =			\$ 1,115.00																	
			Amount to be refunded	\$																
			Amount to be charged	\$																

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ 1,115.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Gunnar G. Leinberg
Nixon Peabody LLP
Clinton Square
P. O. Box 31051
Rochester, NY 14603-1051
United States of America

Gunnar Leinberg
SIGNATURE

Gunnar G. Leinberg
NAME

35,584
REGISTRATION NUMBER

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 28091/220

APPLICANT(S): KAAL et al.

TITLE: RETRACTABLE SYRINGE WITH PLUNGER DISABLING SYSTEM

Certificate is attached to the Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) of the above-named application.

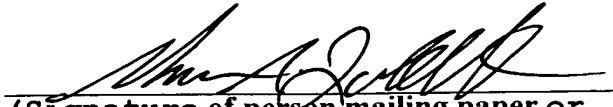
"EXPRESS MAIL" NUMBER: EL983812313US

DATE OF DEPOSIT: July 28, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett

(Typed or printed name of person
mailing paper or fee)



(Signature of person mailing paper or
fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 28091/220

APPLICANT(S): KAAL et al.

TITLE: RETRACTABLE SYRINGE WITH PLUNGER DISABLING SYSTEM

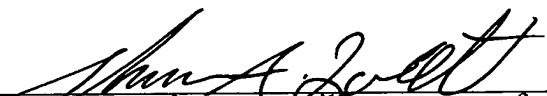
Certificate is attached to the Application Data Sheet of the above-named application.

"EXPRESS MAIL" NUMBER: EL983812313US

DATE OF DEPOSIT: July 28, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett
**(Typed or printed name of person
mailing paper or fee)**


(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 28091/220

APPLICANT(S): KAAL et al.

TITLE: RETRACTABLE SYRINGE WITH PLUNGER DISABLING SYSTEM

Certificate is attached to the Preliminary Amendment
(9 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EL983812313US

DATE OF DEPOSIT: July 28, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 28091/220

APPLICANT(S): KAAL et al.

TITLE: RETRACTABLE SYRINGE WITH PLUNGER DISABLING SYSTEM

Certificate is attached to the UNSIGNED Combined Declaration and Power of Attorney for the above-named application.

"EXPRESS MAIL" NUMBER: EL983812313US

DATE OF DEPOSIT: July 28, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett
(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 28091/220

APPLICANT(S): KAAL et al.

TITLE: RETRACTABLE SYRINGE WITH PLUNGER DISABLING SYSTEM

Certificate is attached to the Information Disclosure Statement with SB-08 Form and PCT Search Report of the above-named application.

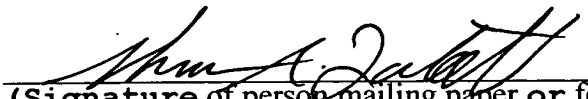
"EXPRESS MAIL" NUMBER: EL983812313US

DATE OF DEPOSIT: July 28, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett

(Typed or printed name of person
mailing paper or fee)


(Signature of person mailing paper or fee)